Sterne Kessler Goldstein Fox



Robert Greene Steme Edward J. Kessler Jorge A. Goldstein David K.S. Comwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherston Michael V. Messinger

Judith U. Kim
Timothy J. Shea, Jr.
Patrick E. Garrett
Jeffery T. Helvey*
Heidi L. Kraus
Crystal D. Sayles
Edward W. Yee
Albert L. Ferro*
Donald R. Banowit
Peter A. Jackman
Molily A. McCall
Teresa U. Medler
Jeffrey S. Weaver
Kendrick P. Patterson
Vincent L. Capuano
Albert J. Fasulo II*
Eldora Ellision Floyd
W. Russell Swindell

Thomas C. Fiala Brian J. Del Buono* Virgil Lee Beaston* Reginald D. Lucas* Kimberty N. Reddick Theodore A. Wood Elizabeth J. Haanes Bruce E. Chalker Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengamanae Shershovich* Lawrence J. Carroll*

Senior Counsel Samuel L. Fox Kenneth C. Bass III Registered Patent Agents Karen R. Markowicz Andrea J. Kamage Nancy J. Leith Joseph M. Conrad III Ann E. Summerfield Helene C. Carlson Gaby L. Longsworth Matthew J. Dowd Aaron L. Schwartz Angelique G. Uy Boris A. Matvenko Mary B. Juno

*Admitted only in Maryland *Admitted only in Virginia *Admitted only in Texas

(202) 218-7824

INTERNET ADDRESS:
GLONGS@SKGF.COM

WRITER'S DIRECT NUMBER:

February 20, 2002

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/988,745; Filed: November 20, 2001

For: H

Human Amine Receptor

Inventors:

LI et al.

Our Ref:

1488.0840002/EKS/GLL

Art Unit:

1646

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449 citing nineteen (19) documents;
- 3. A copy of each of the nineteen (19) cited documents; and
- 4. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLIC: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents February 20, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Gaby L. Longsworth

Agent for Applicants Registration No. 47,756

EKS/GLL/eak

Enclosures
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SKGF Rev. 2/15/02 dcw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Li et al.

Appl. No. 09/988,745

Filed: November 20, 2001

For: Human Amine Receptor

Confirmation No. 9091

Art Unit:

1646

Examiner:

To be assigned

Atty. Docket:

1488.0840002/EKS/GLL

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

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Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each of these documents is provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This Information Disciosure Statement is being filed within three months of the U.S. filing date and before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Sterne, Kessler, Goldstein & Fox p.l.l.c.

Graly h. hongoworth

Gaby L. Longsworth Agent for Applicants

Registration No. 47,756

Date: February 20, 2002

1100 New York Avenue, N.W.

Suite 600

Washington, D.C. 20005-3934

(202) 371-2600